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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

MBA

SEM: III - THEORY EXAMINATION (2024- 2025)

Subject: Corporate Governance and Ethics

Time: 3 Hours

Max. Marks: 100

**General Instructions:***IMP: Verify that you have received the question paper with the correct course, code, branch etc.**1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.**2. Maximum marks for each question are indicated on right -hand side of each question.**3. Illustrate your answers with neat sketches wherever necessary.**4. Assume suitable data if necessary.**5. Preferably, write the answers in sequential order.**6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.***SECTION-A**

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**1. Attempt all parts:-**

- 1-a. According to Section 165(1) of Companies Act 2013 a person can hold the alternate directorship in \_\_\_\_\_ companies at a time. (CO1, K1) 1
- (a) 30
- (b) 20
- (c) 40
- (d) 50
- 1-b. To protect the interests of investors in securities and to promote the development of securities market, the Government of India has established a fund to be called as: (CO1, K1) 1
- (a) Investor Education and Protection Fund
- (b) Investor Education and Provident Fund
- (c) Investor Establishment and Protection Fund
- (d) Industrial Education and Protection Fund
- 1-c. In Indian financial system the SEBI regulates and monitors the corporate governance of listed companies in India through \_\_\_\_\_: (CO2, K2) 1
- (a) Clause 42
- (b) Clause 48
- (c) Clause 41
- (d) Clause 49

- 1-d. The meetings of Audit committee should be \_\_\_\_\_ time(s) in a year. (CO2, K2) 1
- (a) 1
  - (b) 4
  - (c) 3
  - (d) 2
- 1-e. The values that referS to desirable end-states of existence, the goals a person would like to achieve during his or her lifetime, is known as: (CO3, K2) 1
- (a) Instrumental Value
  - (b) Terminal Values
  - (c) Spiritual Values
  - (d) Organizational values
- 1-f. Among the following statements about professional ethics which is incorrect? (CO3, K2) 1
- (a) Different professions have different professional ethics, and professional ethics are industry-specific
  - (b) The requirements of professional ethics can be stipulated in the form of regulations
  - (c) Professional ethics can exist separately from class ethics
  - (d) Occupation Morality is characterized by continuous development and generational continuity
- 1-g. An absence of workplace ethics has \_\_\_\_\_ effect on employee morale and motivation. (CO4, K2) 1
- (a) Positive
  - (b) Diverse
  - (c) An adverse
  - (d) Zero
- 1-h. Indian ethos is all about what can be termed as \_\_\_\_\_ (CO4, K2) 1
- (a) Local ethos
  - (b) National ethos
  - (c) International ethos
  - (d) Glocal ethos
- 1-i. Shareholders are considered more important to an organization when: (CO5, K3) 1
- (a) they can make use of their power on the organization
  - (b) they do emphasize the urgency of their issues
  - (c) their issues are legitimate
  - (d) All of the above
- 1-j. Business ethics deal primarily with \_\_\_\_\_: (CO5, K2) 1
- (a) social responsibility
  - (b) the price of products and services

- (c) moral obligation
- (d) being unfair to the competition

2. Attempt all parts:-

- 2.a. Define the 'Business ethics'. (CO1, K1) 2
- 2.b. Define ordinary resolution and its process in brief. (CO2, K1) 2
- 2.c. Enumerate the different types of values. (CO3, K1) 2
- 2.d. State the approaches to ethical decision making which focuses on the consequences of an action. (CO4, K2) 2
- 2.e. Discuss the 'Stakeholder Theory' with an example. (CO5, K2) 2

### **SECTION-B**

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3. Answer any five of the following:-

- 3-a. Describe the Investor Grievance Redressal Mechanism of SEBI. (CO1, K2) 6
- 3-b. Discuss the measures that companies have taken to address environmental concerns and to become more sustainable. (CO1, K2) 6
- 3-c. Explain the main functions of Audit Committee in a company. (CO2, K2) 6
- 3-d. Discuss the role and need of CSR committee and Shareholder Grievance Committee. (CO2, K3) 6
- 3.e. Discuss about the common mannerism that should be followed by an employee in a workplace. (CO3, K3) 6
- 3.f. Describe 6 steps of ethical decision making with its application. (CO4, K3) 6
- 3.g. Differentiate between 'Stakeholders' Theory' and 'Shareholders' Theory'. (CO5, K2) 6

### **SECTION-C**

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4. Answer any one of the following:-

- 4-a. Explain the key issues in corporate governance in India. Also describe the measures that could be applied for solving these issues. (CO1, K3) 10
- 4-b. Explain with examples, the importance of board of directors in an organisation. (CO1, K3) 10

5. Answer any one of the following:-

- 5-a. "Disclosure and transparency may harm my competitive position in the market, so why should I disclose more information" Comment with reason. (CO2, K3) 10
- 5-b. Discuss in detail the basic challenges, that led to the emergence of corporate governance. (CO2, K2) 10

6. Answer any one of the following:-

- 6-a. Explain with example the role of founders in articulating company values. Also create a plan to inculcated values at the workplace. (CO3, K6) 10
- 6-b. Describe the organizational values that help to empower your employees leading to satisfaction and sustainability. (CO3, K3) 10

7. Answer any one of the following:-

- 7-a. How would you implement the ethical decisions and actions effectively in order to improve the work culture of your organization? (CO4, K3) 10
- 7-b. Discuss the ethical issues and dilemmas faced by employees while discharging their duties. Give two examples, one for senior management employee and another for blue collar worker. (CO4, K3) 10

8. Answer any one of the following:-

- 8-a. Discuss the link between CSR and stakeholder theory. Give an analytical view of both as per your opinion. (CO5, K4) 10
- 8-b. Discuss in detail various ethical issues in managing finances of a company. (CO5, K2) 10

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