

Printed Page:-

Subject Code:- NFIN101

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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, MCA INSTITUTE,
GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

PGDM

TRIMESTER: I - THEORY EXAMINATION (2024 -2025)

Subject: Financial Markets & Institutions

Time: 2.5 Hours

Max. Marks: 60

General Instructions:

IMP: Verify that you have received the question paper with the correct course, code, branch etc.

1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.

2. Maximum marks for each question are indicated on right -hand side of each question.

3. Illustrate your answers with neat sketches wherever necessary.

4. Assume suitable data if necessary.

5. Preferably, write the answers in sequential order.

6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.

SECTION-A

15

1. Attempt all parts:-

1-a. Indentify the primary role of a financial system.(CO1,K2)

1

- (a) Facilitate transactions
- (b) Economic growth
- (c) Manage risks
- (d) Increase savings

1-b. QIP stand for in capital markets (CO2 ,K5)

1

- (a) Qualified Institutional Placement
- (b) Quality Investment Process
- (c) Qualified Investment Process
- (d) Quick Institutional Placement

1-c. Select one of the following is a common money market instrument.(CO3,K1)

1

- (a) Shares
- (b) Bonds
- (c) Treasury Bills
- (d) Gold

1-d. CRR stand for in banking.(CO4,K4)

1

- (a) Cash Reserve Rate
- (b) Credit Reserve Requirement

- (c) Cash Reserve Ratio
- (d) Capital Reserve Rate
- 1-e. Identify the key characteristic of financial services that makes them intangible.(CO5 ,K2) 1
 - (a) Tangibility
 - (b) Heterogeneity
 - (c) . Divisibility
 - (d) Intangibility
- 2. Attempt all parts:-
- 2.a. Explain the role of the financial system in promoting economic development.(CO1,K4) 2
- 2.b. Explain a right issue.(CO2,K4) 2
- 2.c. Discuss significance of KISAN VIKAS PATRA.(CO3,K2) 2
- 2.d. Explain the importance of the Cash Reserve Ratio (CRR).(CO4,K4) 2
- 2.e. Differentiate between leasing and hire purchasing.(CO5,K5) 2

SECTION-B

15

3. Answer any three of the following:-

- 3-a. Describe the functioning of capital markets.(CO1,K1) 5
- 3-b. Explain How does SEBI regulate stock market operations in India? (CO2,k3) 5
- 3.c. What is MIBOR and why is it important for financial markets? (CO3 K5) 5
- 3.d. Discuss the impact of recent developments in e-banking on the Indian banking sector.(C04.K4) 5
- 3.e. Compare the characteristics and uses of operating leases and financial leases.(CO5,K3) 5

SECTION-C

30

4. Answer any one of the following:-

- 4-a. Describe the role of the Reserve Bank of India in regulating financial markets.(CO1,K4) 6
- 4-b. Discuss the risks and challenges associated with the money market.(CO1,K4) 6

5. Answer any one of the following:-

- 5-a. Discuss in detail the functioning of the primary and secondary capital markets.(CO2,K4) 6
- 5-b. Explain the book-building process help in determining the price of new issues(co4,k4) 6

6. Answer any one of the following:-

- 6-a. Explain the role of repo and reverse repo rates in the Indian financial system.(CO3,K4) 6
- 6-b. Explain the process of ipo in deatil.(co4,k4) 6

7. Answer any one of the following:-

7-a. Explain the recent monetary policy of RBI and its impact on economic growth.(co4,k4) 6

7-b. Analyze the role of e-banking in transforming the banking sector.(CO4,K4) 6

8. Answer any one of the following:-

8-a. Evaluate the future of financial services in India in the context of technological advancements.(CO5.K3) 6

8-b. Discuss the factors that contributed to the growth of financial services in India.(CO5,K4) 6

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