

Printed Page:-

Subject Code:- GFIN102

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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, NIET BUSINESS SCHOOL,
GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

PGDM (GLOBAL)

TRIMESTER: IV - THEORY EXAMINATION (20 -20)

Subject: Security Analysis And Portfolio Management

Time: 2.5 Hours

Max. Marks: 60

General Instructions:

IMP: Verify that you have received the question paper with the correct course, code, branch etc.

1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.

2. Maximum marks for each question are indicated on right -hand side of each question.

3. Illustrate your answers with neat sketches wherever necessary.

4. Assume suitable data if necessary.

5. Preferably, write the answers in sequential order.

6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.

SECTION-A

15

1. Attempt all parts:-

1-a. Indentify the primary role of a financial system.(CO1,K2)

1

- (a) Facilitate transactions
- (b) Economic growth
- (c) Manage risks
- (d) Increase savings

1-b. QIP stand for in capital markets.(CO1,K2)

1

- (a) Qualified Institutional Placement
- (b) Quality Investment Process
- (c) Qualified Investment Process
- (d) Quick Institutional Placement

1-c. Select one participant in the money market.(C03,K2)

1

- (a) Stock Exchanges
- (b) Commercial Banks
- (c) Mutual Funds
- (d) Retail Investors

1-d. Institution regulates NBFCs in India(C04,K2)

1

- (a) SEBI
- (b) NABARD

(c)	RBI	
(d)	Ministry of Finance	
1-e.	Highlight the feature that distinguishes factoring from forfeiting.(CO5,K2)	1
(a)	Long-term credit	
(b)	Asset ownership transfer	
(c)	Short-term credit	
(d)	Currency conversion	
2.	Attempt all parts:-	
2.a.	Explain function of a stock exchange.(co1,k4)	2
2.b.	Explain private placement (co2,k2)	2
2.c.	Discuss significance of mutual funds (co3,k3)	2
2.d.	What is the difference between a commercial bank and an NBFC?	2
2.e.	Explain the significance of diversification in security.(c05,K4)	2
<u>SECTION-B</u>		15
3.	Answer any <u>three</u> of the following:-	
3-a.	Explain different types of financial instruments available in the market.(co1,k4)	5
3-b.	Explain ways of floating for the new issue .(c02,k4)	5
3.c.	Discuss the differences between global and Indian market stock exchange .(c03,k3)	5
3.d.	Explain the valuation of equity shares.(c04,k4)	5
3.e.	Discuss the role of SEBI .(CO5,K3)	5
<u>SECTION-C</u>		30
4.	Answer any <u>one</u> of the following:-	
4-a.	Explain insurance companies and pension funds contribute to the financial system.(CO1,k4)	6
4-b.	Explain capital markets differ from money markets in terms of risk and return.(co1,k4)	6
5.	Answer any <u>one</u> of the following:-	
5-a.	Analyze the role of risk in investment .(co2,k2)	6
5-b.	Explain the types of financial markets.(c02,k4)	6
6.	Answer any <u>one</u> of the following:-	
6-a.	Describe fundamental analysis.(c03,k3)	6
6-b.	Explain company analysis.(c03,k3)	6
7.	Answer any <u>one</u> of the following:-	
7-a.	Discuss in detail the Elliot wave Theroy.(c04,k3)	6
7-b.	Analyze the role of bar and charts in investment analysis.(co4,k3)	6
8.	Answer any <u>one</u> of the following:-	

8-a.	Explain the difference between direct and indirect investment (c05,k4)	6
8-b.	Analyze the impact of leasing on the Indian industrial sector.(c05,k3)	6

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