

Printed Page:-

Subject Code:- GFIN101

Roll. No:

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NOIDA INSTITUTE OF ENGINEERING AND TECHNOLOGY, NIET BUSINESS SCHOOL,
GREATER NOIDA

(An Autonomous Institute Affiliated to AKTU, Lucknow)

PGDM (GLOBAL)

TRIMESTER: IV - THEORY EXAMINATION (20 -20)

Subject: Financial Markets And Institutions

Time: 2.5 Hours

Max. Marks: 60

General Instructions:

IMP: Verify that you have received the question paper with the correct course, code, branch etc.

1. This Question paper comprises of three Sections -A, B, & C. It consists of Multiple Choice Questions (MCQ's) & Subjective type questions.

2. Maximum marks for each question are indicated on right -hand side of each question.

3. Illustrate your answers with neat sketches wherever necessary.

4. Assume suitable data if necessary.

5. Preferably, write the answers in sequential order.

6. No sheet should be left blank. Any written material after a blank sheet will not be evaluated/checked.

SECTION-A

15

1. Attempt all parts:-

1-a. Component of financial system are:(CO1,K1)

1

- (a) Payment and settlement system
- (b) Banks
- (c) Financial market
- (d) All

1-b. Money market is a:(CO2,K2)

1

- (a) Short term
- (b) Long term
- (c) Intermediary
- (d) All

1-c. SEBI was established in the year-(CO3,K1)

1

- (a) 1988
- (b) 1990
- (c) 1992
- (d) 1994

1-d. NSE was incorporated in (CO4,K1)

1

- (a) 1992
- (b) 1994

(c)	1996	
(d)	2000	
1-e.	ADR are traded in : (CO5,K1)	1
(a)	INDIA	
(b)	America	
(c)	Europe	
(d)	None	
2.	Attempt all parts:-	
2.a.	Define the term 'Financial system'.(CO1,K1)	2
2.b.	Define IPO.(CO2,K1)	2
2.c.	Define AMFI.(CO3,K1)	2
2.d.	Define stock market index.(CO4,K1)	2
2.e.	Define ADR.(CO5,K1)	2
SECTION-B		15
3.	Answer any <u>three</u> of the following:-	
3-a.	Explain the various component of financial system.(CO1,K1)	5
3-b.	Explain the importance of IPO.(CO2,K2)	5
3-c.	Explain the importance of NABARD in economic development.(CO3,K2)	5
3-d.	Explain how SEBI control the abnormal price fluctuation in market. (CO4,K2)	5
3.e.	Explain the challenges of global financial market.(CO5,K2)	5
SECTION-C		30
4.	Answer any <u>one</u> of the following:-	
4-a.	Explain the the difference between money market and capital market.(CO1,K1)	6
4-b.	Illustrate the primary role and objective of SEBI.(CO1,K1)	6
5.	Answer any <u>one</u> of the following:-	
5-a.	Explain the book building of IPO and its process in detail.(CO2,K2)	6
5-b.	Explain the various advantages and dis-advantages of IPO.(CO2,K2)	6
6.	Answer any <u>one</u> of the following:-	
6-a.	Explain the role and objective of IRDA.(CO3,K2)	6
6-b.	Illustrate how various financial institution helps in economic growth and development. give example.(CO3,K3)	6
7.	Answer any <u>one</u> of the following:-	
7-a.	Illustrate the difference between NSE and BSE.(CO4,K2)	6
7-b.	Explain the limitation of stock market.(CO4,K2)	6
8.	Answer any <u>one</u> of the following:-	
8-a.	Explain difference between ADR and GDR.(CO5,K2)	6

- 8-b. Explain the how global financial instrument helps in bilateral trade and economic growth.(C05,K2) 6

REG:JULY_DEC-2024